Disease introduction is associated with a phase transition in bighorn sheep demographics

KEZIA MANLOVE,1,5 E. FRANCES CASSIRER,2 PAUL C. CROSS,3 RAINA K. PLOWRIGHT,4 AND PETER J. HUDSON1

1Center for Infectious Disease Dynamics, Pennsylvania State University, 208 Mueller Lab, University Park, Pennsylvania 16802 USA
2Idaho Department of Fish and Game, 3316 16th Street, Lewiston, Idaho 83501 USA
3U.S. Geological Society Northern Rocky Mountain Science Center, 2327 University Way, Suite 2, Bozeman, Montana 59715 USA
4Department of Microbiology and Immunology, Montana State University, 109 Lewis Hall, Bozeman, Montana 59717 USA

Abstract. Ecological theory suggests that pathogens are capable of regulating or limiting host population dynamics, and this relationship has been empirically established in several settings. However, although studies of childhood diseases were integral to the development of disease ecology, few studies show population limitation by a disease affecting juveniles. Here, we present empirical evidence that disease in lambs constrains population growth in bighorn sheep (Ovis canadensis) based on 45 years of population-level and 18 years of individual-level monitoring across 12 populations. While populations generally increased ($\lambda = 1.11$) prior to disease introduction, most of these same populations experienced an abrupt change in trajectory at the time of disease invasion, usually followed by stagnant-to-declining growth rates ($\lambda = 0.98$) over the next 20 years. Disease-induced juvenile mortality imposed strong constraints on population growth that were not observed prior to disease introduction, even as adult survival returned to pre-invasion levels. Simulations suggested that models including persistent disease-induced mortality in juveniles qualitatively matched observed population trajectories, whereas models that only incorporated all-age disease events did not. We use these results to argue that pathogen persistence may pose a lasting, but under-recognized, threat to host populations, particularly in cases where clinical disease manifests primarily in juveniles.

Key words: bighorn sheep; childhood disease; integrated population model; pathogen persistence; population projection matrix; vital rates; disease-induced mortality; wildlife disease; demographic trends.

Introduction

Disease-induced die-offs are often viewed as random events, after which host populations quickly return to disease-free, “healthy” dynamics. This perception rests on assumptions that host population dynamics are relatively stable, and that perturbations from disease-induced die-offs do not permanently alter host vital rates. In reality, some pathogen introductions push host populations onto trajectories headed toward new equilibria. Pathogens that generate these “phase transitions” in host dynamics are capable of regulating or limiting host population growth (Turchin et al. 2003). Pathogen-induced phase transitions shape population trajectories of several well-studied host species. Macroparasite dynamics help switch host populations into and out of cyclic dynamics by altering fecundity in adult females as opposed to mortality of offspring (Hudson et al. 1998, Albon et al. 2002); and pathogens that reduce adult survival can directionally suppress host population growth, pushing these populations into periods of sustained decline (e.g., McCallum et al. 2009, Monello et al. 2014). Yet there are few examples of juvenile diseases regulating or limiting vertebrate host populations.

To produce phase transitions, pathogens must exert lasting pressure on host vital rates. This is rarely possible for pathogens that fade out (i.e., go locally extinct) rapidly, but it can occur when pathogens persist. Ongoing effects of pathogen persistence are visible in systems with temporally autocorrelated disease incidence. For example, epidemics of acute, perfectly immunizing pathogens like measles and mumps in humans buffer populations against future epidemics by temporarily depleting the pool of susceptible hosts (Grenfell et al. 2002). Other diseases, such as chronic wasting disease (Miller et al. 2000) or bovine tuberculosis (Rodwell et al. 2001), gradually accumulate in host populations so that the risk of new infections increases with time since invasion (Storm et al. 2013). In both cases, current disease intensity shapes future disease intensity, and this autocorrelation keeps host population dynamics confined to pathogen-determined trajectories.

Host vital rates that drive long-term shifts in population trajectories may not be the rates most altered by pathogen introduction events. Disease invasions often reduce survival for all members of a host population, regardless of age. Over time, however, morbidity and mortality from chronic diseases may become most severe
in older individuals with long infection histories. By contrast, pathogens that induce lifelong immunity in their hosts gradually become sequestered in the youngest host age classes as older individuals become immune. Detecting the effects of these latter agents in nature is particularly challenging, since juvenile survival is both hard to measure and often subject to more natural variability than that of adults (e.g., Gaillard et al. 2000). As a consequence, although phase transitions in host population dynamics due to disease-induced changes in fecundity and adult survival are well-known, the hypothesis that sustained juvenile disease could drive phase transitions in host dynamics remains untested.

Here, we ask whether pneumonia in bighorn sheep (Ovis canadensis) induces a phase transition in host population dynamics by placing long-term constraints on juvenile survival. This disease is caused by invasion of pathogens commonly carried by domestic sheep (O. aries) and goats (Capra hircus) into naïve wild sheep populations usually accompanied by easily recognizable all-age die-offs; indeed, managers often rely on these die-offs as a proxy for pathogen presence (Besser et al. 2012). Although adult bighorns appear to acquire protective immunity following exposure to pneumonia-causing agents (Plowright et al. 2013), disease continues to impede lamb survival in the years to decades following disease-introduction events (Cassirer et al. 2013). However, most existing models assume that the impact of disease invasion on juvenile survival is relatively short lived (e.g., Clifford et al. 2009, Cahn et al. 2011). Here, we evaluate the evidence supporting that assumption, along with its consequences for expected population growth.

We use time series data from 12 well-studied bighorn sheep populations to examine how temporal autocorrelation and demographic variation in disease intensity shape population dynamics. Our study addresses two basic questions: first, whether disease persistence causes a phase transition in bighorn sheep population dynamics; and second, whether stagnant population growth during the disease persistence phase is attributable to juvenile mortality. We employed individual-level survival and lamb-rearing data to identify specific vital rates that shift when populations transition from the pre-invasion “healthy” phase to the post-invasion “disease persistence” phase. We then applied these estimates to compare the immediate costs of pathogen invasion and the long-term costs of pathogen persistence. Finally, we simulated population trajectories under different autocorrelation and disease intensity scenarios to explore the predictive capacity of models that make varying assumptions about the disease-persistence period.

**METHODS**

**Data collection**

We analyzed data collected on radio-collared ewes and their lambs in 12 bighorn sheep populations from the Hells Canyon metapopulation in Idaho, Washington, and Oregon. Bighorn sheep populations in Hells Canyon have experienced periodic pneumonia outbreaks since the first reintroduction in 1971. In 1995–1996, several northern Hells Canyon populations underwent a major pneumonia-induced die-off, and disease spread through much of the metapopulation by 2002 (Fig. 1a). From 1997 to 2015, we collected demographic data from marked individuals and conducted annual population surveys (Cassirer et al. 2013). Prior to 1997, our analyses rely solely on annual population composition counts.

Throughout the entire time series (1971–2015), we assigned each population to one of three disease states in each year: disease-free and healthy; disease introduction (defined as the first reported pneumonia event in a given population); and post-invasion disease persistence. Disease status for each year was based on criteria described in Cassirer et al. (2013). Healthy estimates were primarily based on data from one population with no evidence of invasion and no detection of disease through 2011, but also included data from other populations during years with no evidence of active disease.

For each year, we calculated age-specific ewe survival and productivity (measured as lamb survival to weaning). Lambs born to radio-collared ewes that survived to 1 October were classified as surviving to weaning. Recruitment was based on ground or helicopter surveys conducted in late February or March.

Throughout these analyses, we use confirmed presence of clinical pneumonia (i.e., from necropsies of animals with pneumatic lesions in their lungs) or low summer lamb survival paired with observed pneumonia signs (coughing, nasal discharge, lethargy; Cassirer and Sinclair 2007) to classify population-years as “persistently diseased” or “healthy.” This definition is explicitly independent of the presence or absence any specific causal agent. Although a large body of evidence, much of it from this system (Besser et al. 2008, 2012), suggests that Mycoplasma ovipneumoniae is the primary pathogen driving pneumonia dynamics in bighorn sheep, our animal-handling intensity was insufficient to confirm M. ovipneumoniae status by pathogen detection for every population-year included here. Additional details on field data collection are available in Appendix S1: Field methods.

**Empirical data analysis**

We applied hierarchical generalized linear models to the data set from 1997 onward to assess whether recruitment and health status changed in the years following disease introduction. In each case, we modelled the response variable (lambs per 100 ewes in the first case; proportion of years classified as healthy in the second) as a function of years since invasion, with a hierarchical term capturing heterogeneity across populations (Appendix S1: Post-invasion empirical trends).

We then applied hierarchical change-point models (Toms and Lesperance 2003) to the entire time series of
population counts to determine whether trends in female population counts changed before and after the first disease detection. Change-point models identify the location of shifts in a time-series trajectory, and describe the slope before and after the shift. We used trajectories of female counts from nine populations that were established well before the initial disease introduction event. Population-specific time series ranged in length from 13 to 45 yr (median = 33 yr). Populations 2, 8, and 9 in Fig. 1a were excluded due to very limited data prior to
disease introduction. Trajectories were aligned so that the first year of observed disease was set to zero for all populations. The model incorporated data from all nine populations simultaneously, while accounting for variation in baseline population size, growth rate before and after the change point, and the change point’s position in the time series through hierarchical effect terms (Appendix S1: Trajectory change-point models).

We used an integrated population model (IPM; Kery and Schaub 2012) to combine ewe annual productivity and survival with lamb counts (i.e., lamb survival to weaning) from 1997 onward to estimate survival and population growth under healthy and persistent disease conditions. However, we could not estimate vital rates during disease introduction due to limited individual-level data collected during die-offs. The IPM simultaneously leverages individual- and population-level data to estimate age-specific survival and lamb-weaning probabilities.

The IPM incorporated data from 217 known-age ewes monitored for a total of 1,555 ewe-years. We combined ewes into age classes of 1, 2–3, 4–7, 8–13, >13, following Festa-Bianchet et al. (2006), except that unlike Festa-Bianchet, we combined two- and three-year-olds due to a sparsity of two-year-old records in healthy years. Productivity data consisted of 525 lambs born to 141 known-aged ewes (Table 1). We also used ewe and lamb counts from 10 Hells Canyon populations over the 18 years from 1997 to 2014 (a total of 156 population-years). Recruitment was empirically measured as the lamb : ewe ratio in March of each year. In the IPM, it was estimated by combining individual- and summer lamb survival data from population-level counts of overwinter lamb survival, since lamb status was not determined for specific ewes after the change point, and the change point’s position in the time series through hierarchical effect terms (Appendix S1: Trajectory change-point models).

We then compared recovery time (years required to return to pre-die-off population size) for die-offs followed by immediate return to healthy rates to recovery time for die-offs followed by a specified period of persistent disease. A population must increase by k% of its original size to return to pre-die-off size following a die-off killing k% of the population. Under immediate recovery, this occurs when \( (1-k)^{\lambda_{\text{Healthy}}} = 1 \). As long as \( \lambda_{\text{Healthy}} > 1 \), recovery is achieved when \( t = y_r \), and

\[
y_r = \frac{-\log(k)}{\log(\lambda_{\text{Healthy}})}.
\]

When disease persists, populations continue declining until persistence ends (if \( \lambda_{\text{Persist}} < 1 \), and begin growing again only after pathogen fade-out. In a case where pathogens persist for \( p \) years, recovery is achieved when \( (1-k)^{\lambda_{\text{Healthy}}} = 1 \). Years to recovery \( y_r \) for a population experiencing a die-off killing k% of the population, with persistence of \( p \) years, was calculated as

\[
y_r = \frac{-(\log(k) + p \log(\lambda_{\text{Persist}}))}{\log(\lambda_{\text{Healthy}})} + p.
\]

Finally, we simulated population growth under varying periods of disease persistence. We assumed that populations experience three disease states, healthy, disease introduction, and persistent disease, and transition through disease states according to a Markov process. In this model, populations are initially healthy, but experience disease introduction events with some probability, \( \alpha \). When the population is in either an introduction or a persistent disease state, another introduction event can occur with probability \( \alpha \), the disease can fade out (returning the population to a healthy state) with probability \( (1-\alpha)\gamma \), or the disease can persist and shift the population into a disease persistence state with probability \( (1-\alpha)(1-\gamma) \). The expected number of years between disease introduction events is equal to \( 1/\alpha \); the expected number of years from disease introduction to fade out (i.e., return to healthy) is \( 1/\gamma \). Transitions among disease states are summarized in the row-stochastic Markov transition matrix

\[
C = \begin{bmatrix}
\text{Healthy} & \text{Intro} & \text{Persist} \\
(1-\alpha)(1-\gamma) & \alpha & 0 \\
(1-\alpha)(1-\gamma) & \alpha & 0 \\
\end{bmatrix}.
\]
We ran 100 replicate simulations for each scenario. All simulations started with a population of 500 ewes and we initiated the disease process after 30 yr of healthy growth. For each year, we first updated the disease status using binomial distributions based on that simulation’s disease model (i.e., age structured vs. all-age models). Then we parameterized the Leslie matrix with values drawn from the posterior distribution generated for that disease state by the IPM. Since we had limited age-specific survival data during invasion years, we assumed that disease introduction years experienced a 30% reduction in adult survival (although many published accounts exceed this value [e.g., Coggins 1988], we suspect that many smaller outbreak events go undetected). We also examined simulations that did not account for age-specific disease effects (i.e., in these simulations, every “persistent disease” year was given “disease introduction” demographic rates), and simulations in which disease years occurred at random, instead of in sequence.

We do not incorporate density dependence in these simulations, because these are small populations (median population size 75) with an estimated 6 km² of potential habitat per sheep (Idaho Department of Fish and Game, unpublished data), and we observed no evidence of density effects on vital rates prior to pathogen introduction (Fig. 2). Population size accounted for <7% of the variation in recruitment or population growth in healthy years. We recognize the importance of density-dependent population regulation in healthy bighorn sheep populations (Jorgenson et al. 1997, Festa-Bianchet et al. 2003), but density did not play a role in the parameter space of these data and simulations.

Disease is known to persist in bighorn populations following pathogen invasion (e.g., Cassirer et al. 2013). Here we show that disease presence is associated with both stagnant growth and low recruitment (Fig. 1b), and that disease costs are not alleviated over time (Fig. 1c). The probability of a year being classified as “healthy” after pathogen invasion was 0.23 and decreased by a multiplicative factor of 0.84 with each successive year post-invasion, although this trend was not statistically significant (95% posterior credible interval [0.54, 1.25]; Fig. 1c; Appendix S1: Table S2). Recruitment was not associated with time since disease introduction, suggesting that the magnitude of disease in lambs remained constant (lamb : ewe median = 0.32 in the year of invasion; 95% posterior credible interval on yearly change in lamb : ewe ratio = [−0.01, 0.01]; Fig. 1d; Appendix S1: Table S1). Taken together, these results indicate that population dynamics do not return quickly to pre-die-off rates; instead, growth remains constrained as long as pathogens persist in the host populations. Full descriptions of model posteriors are included in the Appendix S1.

Die-offs during pathogen invasion events may eliminate weaker hosts, selecting for the most fit individuals and leading to accelerated population growth in the absence of disease transmission (as shown by Jolles et al. [2006] for tuberculosis in African buffalo). However, we
saw no evidence of improved population growth after die-offs, even in healthy years (mean \( \lambda \) in healthy years = 1.14 before die-offs and 1.10 after die-offs). There is no evidence in this system that hosts who survive all-age die-off events have post-die-off vital rates that facilitate rapid return to pre-die-off population dynamics; instead, growth remains constrained as long as pathogens persist in the host populations.

**Population trajectories changed in tandem with disease invasion**

Ewe count trajectories in six of nine populations with data before and after disease introduction showed a statistically significant decline associated with the first observation of pneumonia (posterior median year of trajectory change = 2.57 yr prior to reported pathogen introduction, 95% posterior credible interval from 7.31 years prior to reported introduction to 2.20 years after reported introduction; Appendix S1: Table S4).

Populations generally grew prior to pathogen introduction (posterior median increase in numbers per year = 5.54, 95% posterior credible interval for the population change per year before the change point, \( \mu_p = [4.26, 7.08] \)), but stagnated or declined thereafter (posterior median change in count per year = −3.17, 95% posterior credible interval for the population change per year after the change point = [−8.08, 1.59]). We detected no evidence of a second change point corresponding to recovery in the aggregated post-invasion time series. Instead, a weakly characterized second change point occurred in several populations during the first 15 years following invasion (posterior median = 4.42, 95% posterior credible interval for \( \mu_p \) = [1048, 15.70]; Appendix S1: Table S5), and was associated with accelerating decreases in population growth (posterior median = 8.61, 95% posterior credible interval for the mean annual change in population size before the change point was [2.71, 15.25], whereas after the change point the posterior median was −3.76 with a 95% credible interval of [−11.75, 3.23]). Credible intervals for the specific change points for three populations fell entirely outside the posterior credible interval for the mean change point for all populations. These populations initially grew following disease introduction, but declined 6–8 yr later. No populations showed long-term recovery to pre-invasion population size or growth rates (Fig. 2).

**Adult survival returned to pre-die-off rates following disease introduction, but juvenile survival remained low**

Adult female survival was not significantly different in disease-free and post-invasion disease-persistence years (Fig. 3a; Appendix S1: Table S6). In fact, we saw some weak suggestion that adult survival might be marginally better in diseased years than healthy years (Fig. 3a), though this effect was not significant. Estimated lamb weaning rates were lower in disease-persistence years than in healthy years, especially for prime-aged ewes (indicated by the non-overlapping posterior credible intervals in Fig. 3b; Appendix S1: Table S6). We did see weak evidence that disease mortality may be somewhat compensatory in lambs, since estimated overwinter lamb survival in healthy years was approximately 12% lower than in infected years (Appendix S1: Table S6). However, this effect was insufficient to overcome the dramatic costs disease imposed on lamb survival until weaning. Consequently, population age structure was predicted to shift toward older age classes under disease persistence (Appendix S1: Fig. S1b).

**Disease-persistence period plays a major role in determining time to population recovery**

Projections based on the Leslie matrices predicted population growth in disease-free conditions (posterior median = 1.11; 95% posterior credible interval = [1.05, 1.14]; Fig. 3c), but gradual decline under disease persistence (posterior median = 0.98; 95% posterior credible interval = 0.95, 1.01; Fig. 3c). As expected, the simulation studies suggested that increasing persistence sharply increased expected time to recovery. A five-year increase in disease persistence had a similar effect on time to recovery as doubling the mortality in the initial outbreak (Fig. 4a). This is reflected in the distance on the y-axis between immediate fade-out and increasing persistence time lines in Fig. 4a: the 25 year difference in projected recovery time between initial population declines of 10% vs. 90% (reflected in the bottom curve in Fig. 4a) was similar to, but slightly less than the difference in projected recovery time for populations with immediate disease fade-out vs. 20-year persistence, regardless of initial die-off size (Fig. 4a). These effects remained apparent even after incorporating stochasticity (Fig. 4b). In general, population growth projections were lower when age-structure was overlooked, but this effect was less pronounced when dynamics were dominated by disease introduction (right panels of Appendix S1: Figs. S2 and S3) as opposed to persistence (left panels of Appendix S1: Figs. S2 and S3). Temporal sequencing of disease was not crucial to population dynamics following disease introduction: random vs. ordered disease states had relatively little effect on population growth, so long as disease impacted juveniles, and not all age classes (Appendix S1: Fig. S4). This was likely because the vast majority of years following invasion were diseased.

**Discussion**

Pathogens constrain host vital rates, and therefore push host population trajectories toward new equilibrium dynamics including extinction (McCallum and Dobson 1995, De Castro and Bolker 2005). This may be of limited importance for transient pathogens that go locally extinct shortly after introduction, where the period of pathogen-constraint is short with healthy dynamics resuming
quickly. When novel pathogens persist, however, they can induce phase transitions in host population dynamics, sometimes with serious consequences for host species conservation and management. Our analysis suggests that such a phase transition may be underway in pneumatic populations of bighorn sheep.

The majority of the bighorn sheep populations in this study did not resume pre-disease-invasion growth rates during up to 20 years following recorded disease-induced die-off events. Instead, most populations declined abruptly near the time of the first all-age disease event (Fig. 2a), and remained stagnant-to-declining thereafter. While pathogen invasion events reduced survival regardless of age (Fig. 2), we found that as the pathogen persisted, its effects differentiated across age classes. Adult survival returned to pre-invasion rates even as juvenile survival-to-weaning remained low. The projected cost of persistent disease on host population growth could rapidly exceed the cost of all-age die-offs (Fig. 4a). A steady onslaught of short-lived spillover events could produce population trajectories similar to those observed in the field (middle row of Appendix S1: Fig. S2). However, that pattern would require years of strong recruitment between all-age events, which is inconsistent with our field observations of sustained lamb disease (Cassirer et al. 2013; Fig. 1a). The complete suite of disease dynamics was therefore best captured by simulations that accounted for long-term juvenile disease persistence; neither a disease process consisting of only die-off events, nor a process of persistent disease in all age

![Figure 3](image_url)

**Fig. 3.** Posterior estimates for vital rates and $\lambda$. Posterior mean and 95% credible intervals for (a) age-specific ewe survival and (b) probability of weaning a lamb in persistent disease (gray) and healthy (black solid) years. (c) Posterior distribution of stochastic population growth rate in healthy (black solid) and diseased (gray dashed) years.

![Figure 4](image_url)

**Fig. 4.** Simulated effects of disease persistence. (a) The number of years required for a population to recover, i.e., regain predie-off sizes, over varying persistence periods. (b) Population projections based on 500 simulations under the Markov transition model in equation (3). Populations were subject to continuous healthy growth rates until year 0, and experienced their first disease event between years 0 and 1. The expected invasion rate was set to one invasion per five years, and expected persistence periods were set to 1 (light gray), 5 (medium gray), or 20 (black) years. Polygons bound the middle 50% of the simulated trajectories. Additional simulation outputs are shown in Appendix S1: Figs. S2–S4.
class transitions in host vital rates is beginning to emerge from until the causal agent goes locally extinct. Most bighorn sheep populations experience lasting reductions in juvenile survival in the aftermath of disease (Kilpatrick et al. 2010, Langwig et al. 2012). Pathogens of amphibians and bats also produce direc
tional effects in African buffalo, this time through a phase transition in fecundity (Gorsich et al. 2015). Fungal pathogens of amphibians and bats also produce direction shifts in population growth rates, although the precise demography may vary between particular host species (Kilpatrick et al. 2010, Langwig et al. 2012).

If invading chronic pathogens do not cause high mortality initial die-offs, as occurred in one-third of the bighorn sheep populations in this study, they may go undetected, reducing opportunities for early control. Overlooking persistence and modeling only highly visible disease introductions produces a dramatically inflated projection of future population growth for bighorn sheep, and this bias may also apply to other disease conservation systems. Wildlife and conservation biologists must be alert to invading and establishing pathogens: even those with apparently negligible costs. When left unchecked, these agents have the potential to quietly but dramatically alter long-term population trajectories.

ACKNOWLEDGMENTS

This research was supported by Morris Animal Foundation grant D13ZO-081. K. R. Manlove was supported through a Penn State Academic Computing Fellowship. The RAPIDD Program provided support to P. J. Hudson. R. K. Plowright was supported by National Institutes of Health IDeA Program grants P20GM103474 and P30GM110732, P. Thye, and Montana University System Research Initiative: 51040-MUSR12015-03. Data were collected under the Hells Canyon Initiative with support from the wildlife agencies of Idaho, Oregon, and Washington, Federal Aid in Wildlife Restoration, U.S. Forest Service, Bureau of Land Management, the Wild Sheep Foundation National, Idaho, Oregon, and Washington.
chapters, Oregon Hunters Association, and Shikar-Safari Club International. Any use of trade, firm, or product names is for descriptive purposes only and does not imply endorsement by the U.S. Government.

**Literature Cited**


Rodwell, T. C., I. J. Whyte, and W. M. Boyce. 2001. Evaluation of population effects of bovine tuberculosis in free-ranging...

**Supporting Information**
Additional supporting information may be found in the online version of this article at http://onlinelibrary.wiley.com/doi/10.1002/ecn.1520/supinfo